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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: S. SANKARAN

Serial No.: 09/501,154

Filed: February 9, 2000



For: METHOD AND SYSTEM FOR INTERACTIVE INITIAL OFFERING OF
MULTI-CLASS FINANCIAL INSTRUMENTS

**COMPLETION OF APPLICATION
UNDER 37 C.F.R. 1.53(d)**

Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Attn: Application Branch

Dear Sir:

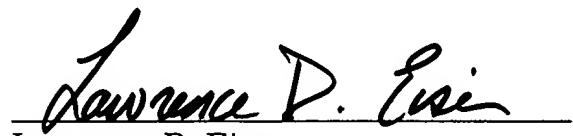
Pursuant to 37 C.F.R. 1.53(d), counsel for Applicant encloses herewith the following documents to complete the subject application:

- 1) Combined Declaration and Power of Attorney;
- 2) Notice to File Missing Parts - Filing Date Granted and,
- 3) Small Entity Declaration.

It is respectfully submitted that the application is now complete and it is respectfully requested that the subject application be placed upon the files for examination.

Attached hereto is our check in the amount of \$65.00 to cover the required fees. The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account 03-3836. A duplicate copy of this paper is attached.

Respectfully submitted,



Lawrence D. Eisen
Reg. No. 41,009
One of the Attorneys for Applicant
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1001 Pennsylvania Avenue
Washington, D.C. 20004-2595
(202) 624-2726

Attachments

Date: May 4, 2000
Case No.: PRI-102

FORMALITIES LETTER



OC00000005069437
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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09/501,154

02/09/2000

Srikanth Sankaran

PRI-102

Lawrence D Eisen
 Crowell & Moring LLP
 1001 Pennsylvania Avenue NW
 Washington, DC 20004-2595



Date Mailed: 04/24/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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65.00 IP